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EDWARDS & ANGELL, LLP

COUNSELLORS AT LAW
since 1894MARLBOROUGH, MA OFFICE
TELEPHONE (508) 485-7772MAILING ADDRESS:
P.O. BOX 9169
BOSTON, MA 02209101 FEDERAL STREET
BOSTON, MA 02110
(617) 439-4414
FAX (617) 439-4170DIKE, BRONSTEIN, ROBERTS & CUSHMAN
Intellectual Property Practice GroupWASHINGTON, DC
TELEPHONE (703) 553-2563faxed
2/5/02
DRFACSIMILE COVER SHEETTo: The Assistant Commissioner For Patents
Washington, DC 20231ATTENTION: Urszula M. Cegielnik
GROUP: 3712
FAX NO.: 1-703-306-3579
FROM: George W. Neuner

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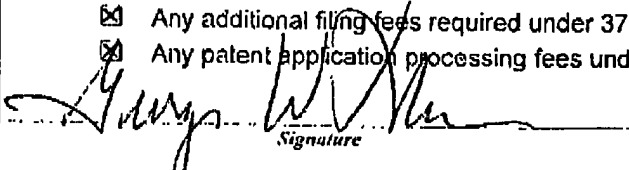
FEB 06 2002

GROUP 3700

TOTAL NUMBER OF PAGES: 12, INCLUDING COVER SHEETShould there be any problems with the transmission of the following document(s), please
contact Denise Rose at telephone number (617) 439-4444.RE: INVENTOR: LYMAN
SERIAL NO.: 09/417.428

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| | | | | | |
|--|--|---------------------------------|------------------------------------|---------|-------------------|
| AMENDMENT TRANSMITTAL LETTER (Small Entity) | | | Docket No. 48641 (71923) | | |
| Applicant(s): D. Lyman | | | | | |
| Serial No. 09/417,428 | Filing Date October 13, 1999 | Examiner U. Cegielnik | Group Art Unit 3712 | | |
| Invention: ENTERTAINMENT AND STRESS RELIEF DISK | | | | | |
| FAX RECEIVED FEB 06 2002 GROUP 3700 | | | | | |
| <u>TO THE ASSISTANT COMMISSIONER FOR PATENTS:</u> | | | | | |
| Transmitted herewith is an amendment in the above-identified application. | | | | | |
| <input checked="" type="checkbox"/> Small Entity status of this application has been established under 37 CFR 1.27 by a verified statement previously submitted. | | | | | |
| <input type="checkbox"/> A verified statement to establish Small Entity status under 37 FR 1.27 is enclosed. | | | | | |
| The fee has been calculated and is transmitted as shown below. | | | | | |
| CLAIMS AS AMENDED | | | | | |
| | CLAIMS REMAINING AFTER AMENDMENT | HIGHEST # PREV. PAID FOR | NUMBER EXTRA CLAIMS PRESENT | RATE | ADDITIONAL FEE |
| TOTAL CLAIMS | 17 | 20 | 0 x | \$9.00 | \$0.00 |
| INDEP. CLAIMS | 1 | 3 | 0 x | \$42.00 | \$0.00 |
| Multiple Dependent Claims (check if applicable) <input type="checkbox"/> | | | | | \$0.00 |
| TOTAL ADDITIONAL FEE FOR THIS AMENDMENT | | | | | \$0.00 |
| <input checked="" type="checkbox"/> No additional fee is required for amendment. | | | | | |
| <input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of _____ A duplicate copy of this sheet is enclosed. | | | | | |
| <input type="checkbox"/> A check in the amount of _____ to cover the filing fee is enclosed. | | | | | |
| <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. _____ A duplicate copy of this sheet is enclosed. | | | | | |
| <input checked="" type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16. | | | | | |
| <input checked="" type="checkbox"/> Any patent application processing fees under 37 CFR 1.17. | | | | | |
|  Signature | | | Dated: 5 Feb '02 | | |
| George W. Neuner Dike, Bronstein, Roberts & Cushman Intellectual Property Practice Group EDWARDS & ANGELL 101 Federal Street Boston, MA 02110-1800 (617) 439-4444 | | | | | |
| cc: | | | | | |

Practitioner's Docket No. 48641 (71923)**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Daniel F. Lyman
Application No.: 09/417,428 Group No.: 3712
Filed: October 13, 1999 Examiner: N. Cegielnik
For: ENTERTAINMENT AND STRESS RELIEF DISK

[] *Patent No.: Issue Date:

**NOTE: Insert name(s) of all inventor(s) and also title for patent.*

Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that the following papers are being facsimile transmitted to the Patent and Trademark Office (fax No. (703) 308-3579) on the date shown below:

Amendment
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2/5/02
Date

Denise A. Rose
(type or print name of person signing certification)

Denise A. Rose
Signature

HQS2_178871 1

(Certification of Facsimile Transmission--page 1 of 1)

R. Kent
2/6/02
#19/c
(NE)

Attorney Docket No. 48,641 (71923)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: D.F. Lyman
U.S.S.N.: 09/417,428 GROUP: 3712
FILED: October 13, 1999 EXAMINER: U. Cegielnik
FOR: ENTERTAINMENT AND STRESS RELIEF DISK

Commissioner for Patents
Washington, D.C. 20231

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FAX 703-308-3579
Alt: Examiner U. Cegielnik
Art Unit 3712

Date: 02/06/02By: Denise Rose

Denise Rose

Sir:

AMENDMENT

Please amend the above application as follows.

In the Claims:

Please amend claim 1 as follows.

1. An amusement and stress relief device formed of a flexible, resilient polymeric material consisting essentially of: